

CORPORATE COMPLIANCE TEST

1. The Corporate Compliance Program was established to ensure organizational compliance with all federal, state, and local laws and regulations.

True False

2. The Corporate Compliance Officer is responsible for overseeing the Corporate Compliance Program, in conjunction with the Compliance Committee.

True False

3. The Compliance Committee is composed of the following employees:

- a. Cross section of department management
- b. President/CEO
- c. Corporate Compliance Officer
- d. Vice Presidents of Operations, Finance, Human Resources
- e. All of the above

4. Education and training do not affect the success of the Corporate Compliance Program.

True False

5. Compliance with federal, state, and local laws and regulations apply to both full- and part-time employees, and volunteers.

True False

6. Employees are obligated to which of the following (circle all that apply):

- a. Seeking guidance or training when uncertain about which laws/regulations apply to his or her duties
- b. Reporting known or suspected non-compliance with laws, regulations, and/or policies
- c. Retaliating against an employee who reported a non-compliance issue
- d. Attending required educational and training sessions relating to the Corporate Compliance Program
- e. Understanding and adhering to the standards which relate to the employee's duties

7. If an employee is aware of any proposed conduct or behavior that will result in non-compliance with laws or regulations, he/she should not report this matter to his/her supervisor, or the Corporate Compliance Officer.

True False

8. Failure to report a non-compliance issue is itself a violation of organization policy, and may result in disciplinary actions, or termination, if appropriate.

True False

9. Employees can use the following methods to report known or suspected non-compliance issues:

- a. Verbal or written explanation to his/her supervisor
- b. Calling the Compliance Hotline at 740-947-6464
- c. Contacting a member of the Compliance Committee
- d. Verbal or written explanation to the Corporate Compliance Officer
- e. All of the above

10. All non-compliance reports that are made to a supervisor must be reported to the Corporate Compliance Officer.

True False

11. Reporting false or misleading non-compliance issues will not be tolerated.

True False

12. When making a report, employees do not have the right to remain anonymous.

True False

13. During an investigation, employees are permitted to withhold or fabricate information, as well as to alter and destroy records pertaining to the incident.

True False

14. Investigator(s) may request an interview with an employee either at home or in the office. Before an employee submits to an interview, he/she must notify their supervisor, who shall in turn notify the Corporate Compliance Officer.

True False

15. In responding to an investigator's request for an interview, employees have the following rights (circle all that apply):

- a. The right to decline an interview.
- b. The right to have an attorney present during the interview.
- c. The right to personal representation by the organization's attorney.
- d. The right to insist that the interview take place in the office, during normal business hours.

16. In 2007, the FTC published regulations known as the “Red Flags Rules” to prevent:

- a. HIPAA violations
- b. Malpractice claims
- c. Identity theft
- d. Employee discrimination

17. Pike Health Services, Inc. is not obligated to comply with the Deficit Reduction Act of 2005, and therefore, is not committed to preventing fraud and abuse.

True False

18. CMS defines fraud as an intentional deception or misrepresentation which results in an unauthorized benefit; and abuse is defined by CMS as incidents or practices which may result in unnecessary costs or improper payment.

True False

19. Submitting intentionally falsified claims to Medicare/Medicaid is considered fraud/abuse.
(please circle correct answer)

20. If an employee reports any action which he/she feels is not permitted by law, Pike Health Services, Inc. is entitled to harass, demote, or terminate that employee.

True False

21. Employees are afforded protection under the False Claims Act for reporting any activities that he/she feels may violate the law.

True False

22. “Red flags” are practices, patterns, or activities that indicate the possibility of identity theft.

True False

23. Patients will be asked to produce their driver’s license and insurance card at the time of registration.

True False

24. Patient registration should not suspect identity theft if the patient provides a Social Security Number or other identifying information that is already in the system for another patient.

True False

25. If an employee identifies or detects potential or known fraudulent activity, or if a patient claims to be a victim of identity theft, the employee should report the incident to his/her immediate supervisor or the Corporate Compliance Officer. The Supervisor/Corporate Compliance Officer will then initiate an investigation to determine whether the activity is fraudulent or authentic.

True False

ACKNOWLEDGMENT STATEMENT By signing this completed evaluation, I acknowledge having received my training on Corporate Compliance. I understand that it is MY responsibility to read the Self-Study Guide and answer the evaluation questions on MY own.

Printed Employee Name

Department

Employee Signature

Date